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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Dale Everett Steele and Kenneth Alan Silva

Serial No.: 09/995,298

Examiner: Not yet assigned

Filing Date: November 27, 2001

Group Art Unit: 2161

Title: ANONYMOUS TRANSACTION SYSTEM

MAIL STOP AMENDMENT
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b), or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☐ a \$180 fee under 37 CFR 1.17 (p), or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with either:
☐ Statement under 37 CFR 1.97 (e),
☐ Applicant hereby petitions for the consideration of the accompanying
information disclosure statement (37 C.F.R. §1.97(d)(ii).
☐ a \$130.00 petition fee set forth in 37 CFR 1.17 (i)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of

the issue fee)


☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)
may be material to the examination of this application and for which there may be a duty to disclose in accordance
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A
duplicate copy of this sheet is enclosed.

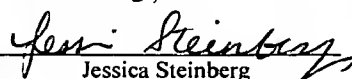
Respectfully submitted,

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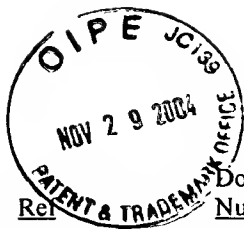
**PATENT APPLICATION**

Atty. Docket No.: 6016-3
Applicant: Steele et al.
Filing Date: November 27, 2001

Serial No. 09/995,298
Group: 2161

**INFORMATION DISCLOSURE CITATION
FORM PTO-1449 (Modified)****U.S. PATENT DOCUMENTS**

<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- Class</u>
_____	_____	6,385,594	May 7, 2002	Lebda et al.	705	38
_____	_____	6,611,816	Aug. 26, 2003	Lebda et al.	705	38
_____	_____	5,995,947	Nov. 30, 1999	Fraser et al.	705	38
_____	_____	6,278,993	Aug. 21, 2001	Kumar et al.	707	3
_____	_____	6,208,979	Mar. 27, 2001	Sinclair	705	38
_____	_____	5,940,812	Aug. 17, 1999	Tengel et al.	705	38
_____	_____	6,182,048	Jan. 30, 2001	Osborn et al.	705	4
_____	_____	5,193,056	Mar. 9, 1993	Boes	364	408
_____	_____	5,961,593	Oct. 5, 1999	Gabber et al.	709	219
_____	_____	6,607,136	Aug. 19, 2003	Atsmon et al.	235	492
_____	_____	6,275,824	Aug. 14, 2001	O'Flaherty et al.	707	9
_____	_____	2001/0029496	Oct. 11, 2001	Otto et al.	705	74
_____	_____	6,119,098	Sep. 12, 2000	Guyot et al.	705	14
_____	_____	5,611,052	Mar. 11, 1997	Dykstra et al.	395	238
_____	_____	5,765,144	Jun. 9, 1998	Larche et al.	705	38
_____	_____	5,239,462	Aug. 24, 1993	Jones et al.	364	408
_____	_____	5,878,403	Mar. 2, 1999	DeFrancesco	705	38
_____	_____	5,262,941	Nov. 16, 1993	Saladin et al.	364	408
_____	_____	5,220,501	Jun. 15, 1993	Lawlor et al.	364	408
_____	_____	6,012,051	Jan. 4, 2000	Sammon, Jr. et al.	706	52
_____	_____	5,724,521	Mar. 3, 1998	Dedrick	395	226
_____	_____	6,049,782	Apr. 11, 2000	Gottesman et al.	705	35
_____	_____	5,614,927	Mar. 25, 1997	Gifford et al.	395	612
_____	_____	5,754,939	May 19, 1998	Herz et al.	455	4.2
_____	_____	6,148,343	Nov. 14, 2000	Lewine	709	229



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<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- Class</u>
_____	_____	5,867,799	Feb. 2, 1999	Lang et al.	707	1
_____	_____	5,758,328	May 26, 1998	Giovannoli	705	26
_____	_____	5,592,375	Jan. 7, 1997	Salmon et al.	395	207
_____	_____	5,794,207	Aug. 11, 1998	Walker et al.	705	23
_____	_____	6,131,087	Oct. 10, 2000	Luke et al.	705	26
_____	_____	5,924,082	Jul. 13, 1999	Silverman et al.	705	37
_____	_____	6,009,410	Dec. 28, 1999	LeMole et al.	705	14
_____	_____	5,754,938	May 19, 1998	Herz et al.	455	4.2
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_____	_____	5,717,923	Feb. 10, 1998	Dedrick	395	613
_____	_____	5,274,547	Dec. 28, 1993	Zoffel et al.	364	408
_____	_____	5,704,045	Dec. 30, 1997	King et al.	395	235
_____	_____	6,076,080	Jun. 13, 2000	Morscheck et al.	705	400

OTHER DOCUMENTS

<u>Exam Init</u>	<u>Ref</u>	<u>(Including Author, Title, Date, Pertinent Pages, etc.)</u>
_____	_____	Deloitte Research & Consulting Study, 1999, "The New Economics of Transactions" pages 1-33.

Examiner: _____

Date Considered: _____